

Legal

Customer Relationship Summary (Form CRS)

Last updated: 11/17/2025

Introduction

Architect Securities LLC (“Architect” or “we” or “our”) is a broker-dealer registered with the U.S. Securities and Exchange Commission (“SEC”) and a member of the Financial Industry Regulatory Authority Inc. (“FINRA”) and Securities Investor Protection Corporation (“SIPC”). Brokerage and investment advisory services and fees differ, and it is important that you understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Architect only offers the ability to buy and sell US-listed stocks and exchange-traded funds (“ETFs”), OTC securities, corporate and government bonds, mutual funds and options. Architect does not offer proprietary securities products or limit our platform to products that pay us revenue sharing or other compensation.

Architect is a subsidiary of Architect Financial Technologies Inc. (“AFT”). Architect customers can use the AFT platform to place orders to buy and sell US-listed stocks and exchange-traded funds (“ETFs”), OTC securities, corporate and government bonds, mutual funds and options. Architect does

not make investment recommendations to its customers, have discretionary investment authority over its customer accounts or monitor customer investments. Architect introduces all customer accounts to RQD* Clearing, LLC (“RQD”), who will hold customer cash and securities, execute, clear and settle all customer securities transactions, record all customer transactions, and facilitate standard account activities such as transferring securities and/or cash out of customer brokerage accounts and providing account statements. Architect will only effect customer securities trades on an agency basis through RQD.

CONVERSATION STARTERS: Ask your financial professional:

Given my financial situation, should I choose a brokerage service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

See Response to these Conversation Starters [here](#)

What fees will I pay?

Architect does not charge commissions for executing Customer trades. However, you may pay certain administrative fees charged by third parties.

You should be aware that some investments (e.g., mutual funds) impose additional fees (e.g., transactional fees and product-level fees) that reduce the value of your investment over time. The same goes for any additional fees you pay to a custodian. Additionally, you may pay transaction fees, if applicable, in connection with any futures exchange products facilitated by our affiliate,

Architect Derivatives. While we do not receive any fees charged by Architect Derivatives or in connection with a transaction facilitated by Architect Derivatives, we will indirectly benefit as disclosed below. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

CONVERSATION STARTERS: Ask your financial professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide recommendations. The way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means:

- We are paid when you complete a transaction, which means we have an incentive to encourage you to make larger investments and trade more frequently.
- We receive Payment for Order Flow (PFOF) when you buy or sell Securities and thus earn revenue from part or all of your transactions.

- We earn a share in the interest proceeds from fully paid securities lending activities from our Clearing Firm.
- We are affiliated and share the Platform with Architect Financial Derivatives LLC (“Architect Derivatives”), an NFA registered introducing broker (NFA # 0556853) and indirectly benefit when you use the services provided by Architect Derivatives.

CONVERSATION STARTERS: Ask your financial professional:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Architect’s financial professionals are paid salaries and discretionary bonuses based on their overall job responsibilities and performance. Our financial professionals do not earn any incentive-based compensation tied to the trading or investments of our customers.

Do you or your financial professionals have legal or disciplinary history?

No. Neither Architect nor our financial professionals have reportable legal or disciplinary events. Visit www.investor.gov/CRS for a free and simple search tool to research Architect and our financial professionals.

CONVERSATION STARTERS: Ask your financial professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

If you would like additional, up-to-date information or a copy of this relationship summary, please contact us at support@architect.co.

CONVERSATION STARTERS: Ask your financial professional:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?